LETTER OF INTENT
Early Phase Clinical Trials 2018

Applications are being accepted on a rolling basis.

This Letter of Intent is an example only. Do not complete this paper application. Please submit the Letter of Intent online through the Institute’s grant management system at https://weston.smartsimple.ca/welcome/neuroscience

Application Number:
Principal Applicant:
Project Title:

Applicant Details

<table>
<thead>
<tr>
<th>Team Members</th>
<th>Organizations</th>
<th>Primary Contact Information</th>
<th>Role in Project</th>
<th>Estimated Time Spent on Project</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Salutation:</td>
<td>Primary Organization:</td>
<td>Address:</td>
<td>☐ Principal Applicant</td>
<td>%</td>
</tr>
<tr>
<td>First Name:</td>
<td>Position Title:</td>
<td>Phone:</td>
<td>☐ Co-Applicant</td>
<td></td>
</tr>
<tr>
<td>Last Name:</td>
<td>Other Affiliations/Position Titles:</td>
<td>Email:</td>
<td>☐ Collaborator</td>
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</tbody>
</table>

| 2. Salutation: | Primary Organization: | Address: | ☐ Principal Applicant | % |
| First Name: | Position Title: | Phone: | ☐ Co-Applicant | |
| Last Name: | Other Affiliations/Position Titles: | Email: | ☐ Collaborator | |

Note: Projects are not limited to two team members as laid out on this sample application form; projects may include as many team members as needed for its successful execution.
Institute definitions

Neurodegenerative diseases of aging:
- Alzheimer’s disease
- Dementia with Lewy bodies
- Frontotemporal dementia
- Multiple system atrophy
- Parkinson’s disease
- Progressive supranuclear palsy
- Vascular contributions to the above diseases (not stroke-mediated vascular disease)
- Prodromes to the above diseases, including
  - Mild cognitive impairment as prodromal to Alzheimer’s disease
  - REM sleep behaviour disorder as prodromal to Parkinson’s disease

Proposed projects may relate to any disease(s) but must have impact on the diseases above and will be adjudicated based on their potential impact on these diseases.

Translational research:
Applied research towards developing therapeutics for the prevention and/or treatment of human disease. For example, for small molecule drug development, this includes target validation to Phase II clinical trials. Basic/discovery research, including but not limited to understanding disease mechanisms and discovering genes implicated in disease, is not in scope.

Therapeutics:
The Institute defines a therapeutic to be a pharmacological approach (including small molecules, biologics, cell therapies and vaccines, including drug repositioning and repurposing), medical device, surgical intervention, or magnetic or electrical brain stimulation. Therapeutics can be for symptomatic relief, disease modification, or prevention. Complementary approaches such as exercise, acupuncture, music, dietary and nutritional supplements are not considered therapeutics. Identification of novel therapeutics is in scope (e.g., high throughput compound screens); however, identification of novel therapeutic targets, including genes implicated in disease, is not in scope.

Clinical trials:
The Institute defines a clinical trial to be research in which one or more human subjects are prospectively assigned to one or more interventions to evaluate the effects of those interventions on health-related biomedical or behavioral outcomes. A clinical trial sub-study is defined by the Institute to be a study investigating a question not addressed by the main trial, and which may involve obtaining additional measurements and data collection from a sub-group of all participants from the main trial.

Tool:
An item that accelerates development of therapeutics, e.g., imaging techniques or reagents, biomarkers, and diagnostics.
Tools must have direct impact on the translational development of therapeutics (as defined by the Institute, i.e., target validation to phase Ila clinical trials) for neurodegenerative diseases of aging and will be valued only on their ability to do this.

- Any value the tools contribute to basic research will not be taken into consideration. For example, tools will not be valued for their ability to identify new targets or understand disease mechanisms.

Projects covering only the discovery/identification of a tool are out of scope.

**Notes about biomarkers**

- Biomarkers must be being developed for human disease diagnosis, prognosis, for patient stratification to clinical trials or to predict response to therapies (surrogate for a clinical endpoint).
  - Biomarkers should measure pathology of the disease (e.g., fluid, imaging or tissue biopsy derived biomarkers) and not be based on behavioural phenotypes (e.g., gait or grip strength).
  - Genetic biomarkers including somatic mutations, SNPs, epigenetics and gene products are in scope if they meet the other eligibility criteria.

- If the project includes biomarker identification:
  - The project must also include experiments to validate the biomarker.
  - All the samples/data necessary for identification and validation must already be available/collected unless there is sufficient justification to collect new samples/data (e.g., samples cannot be stored).
  - Validation of biomarkers must occur in a well-characterized human subjects/samples/data. This validation must be in samples/data from different subjects than those used to identify the biomarker.
  - Post mortem tissue can only be used for validation of biomarkers previously identified in living subjects.

An identified biomarker is defined by the Institute as one that meets the following 4 conditions:

1. Specific item(s) or signature to be measured can be defined;
   - For e.g.,
     - Presence of a particular bacterium
     - Disease-specific EEG signature
     - Specific brain structure with reduced volume
     - Single protein increased
     - Precisely defined fingerprint
       - If the biomarker is a fingerprint of a family of proteins or a signature of brain volume changes, the precise fingerprint or signature to be replicated must be previously determined. For e.g., omics studies for the purpose of identifying biomarker patterns or signatures are out of scope.
     - Exact identities of multiple individual factors that may be useful individually or as a specific composite
• It is not in scope to know that a family of proteins is affected or that brain volume is changed overall, if the specific item or signature that is the biomarker cannot yet be specified. For example, a single protein is not considered an identified biomarker if only the family of proteins were previously identified to be affected.
2. In what it will be detected (e.g., which tissue/fluid), using what assay, and for what disease, can be clearly stated;
3. Specific item(s) (or signature) to be measured has been shown to be detectable in humans or human-derived samples/data in the tissue/fluid to be tested;
4. Compelling data exists to justify moving to validation (as defined by the Institute).
   • The most compelling data is likely in humans or human-derived samples/data with a relevant disease
   • The most compelling data will likely allow for a power calculation
   • Data from pathophysiologically relevant animal models could be considered if those animal data are compelling

Biomarker validation is defined by the Institute as:
• Testing a previously identified biomarker in a sufficient number of appropriate, comparable, well-characterized human subjects/samples/data to determine whether it is a sensitive and/or accurate biomarker.
  o If the proposed assay is different than the one used for initial biomarker identification, or if the assay will be used in a different type of specimen (e.g., different tissue/fluid or different species) then preliminary data must be provided to demonstrate that the assay works appropriately. For example, if a biomarker was identified using an assay in CSF and you are proposing to use the same assay to validate a biomarker in blood, there must be preliminary data demonstrating the assay works in blood.
  o Replication studies are not considered to be validation, e.g., using subjects with a different disease stage, or subjects on different drug regime if that regime could affect the biomarker.

For cognitive assessment tools and clinical assessment instruments

• If developing a cognitive assessment tool or a clinical assessment instrument, the tool must be being tested in patients with a relevant disease.
• Requires discussion of why the new assessment would be better than existing ones.
Application Overview

1. Keywords to describe the proposed work:

2. What type of tool/therapeutic is being developed as the primary goal of the project? (Please select only the one –tool or therapeutic- that is being developed as the primary goal of the project.)

   Tools
   - Biomarker
   - Cell line
   - Clinical assessment instruments
   - Diagnostics
   - Imaging technique or reagent
   - New method of drug delivery
   - Probe
   - Other Please specify:

   Therapeutic
   - Biologic
   - Cell therapy
   - Electrical brain stimulation
   - Magnetic brain stimulation
   - Medical device
   - Small molecule
   - Surgical intervention
   - Passive immunotherapy
   - Active immunotherapy
   - Other Please specify:
   - None

3. What clinical trial phase(s) of development does the project cover? Please explain your choice in 1-2 sentences. (Select only those that apply.)

   - Phase I
   - Phase IIa
   - Other Please specify:

   Explanation:

4. Research will have a significant impact in which neurodegenerative disease(s) of aging? (Select only those that apply. There is no benefit to selecting more diseases.)

   - Alzheimer’s disease
   - Dementia with Lewy bodies
   - Frontotemporal dementia
   - Multiple system atrophy
   - Parkinson’s disease
   - Progressive supranuclear palsy
   - Vascular contributions to the listed diseases (not stroke-mediated vascular disease)
   - Prodromes to the listed diseases (please also check the disease(s) to which your condition is a prodrome)

5. Relevance of the proposed work to the Institute’s mandate: using the Institute’s definitions, explain
how the primary tool or therapeutic being developed in this project (as identified in question 2 above) is translational research, and will accelerate the development of therapeutics for neurodegenerative diseases of aging. (maximum 200 words.)

6. What type of tool(s) and/or therapeutic(s) is being developed aside from the primary goal of the project?
(Please select only the tool(s) and/or therapeutic(s) that are being developed as part of the project; do not select items that are being used as part of the project, e.g. do not select “biomarker” unless you are developing a new biomarker. There is no benefit to selecting more items than fewer items. Select “None” if there are no tool(s) and/or therapeutic(s) being developed aside from the primary goal of the project.)

<table>
<thead>
<tr>
<th>Tools</th>
<th>Therapeutic</th>
</tr>
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<tbody>
<tr>
<td>Animal model</td>
<td>Biologic</td>
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<tr>
<td>Assay/screen</td>
<td>Cell therapy</td>
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<tr>
<td>Biomarker</td>
<td>Electrical brain stimulation</td>
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<tr>
<td>Cell line</td>
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<td>Active immunotherapy</td>
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<td>Other Please specify:</td>
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<tr>
<td>None</td>
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</table>

7. Have you applied to the Weston Brain Institute previously with similar proposed work? If so, specify the previous LOI title and program applied to. Please briefly explain how this LOI is different than the previously submitted work. (This information will not be used to assess the application).

8. Have you applied to other funding agencies with the same proposed work? (This information will not be used to assess the application)

9. Is this your first time applying for a neuroscience grant from the Weston Brain Institute? (This information will not be used to assess the application)

10. Is this your first application for a research grant specifically in the area of neurodegenerative diseases of aging? (This information will not be used to assess the application)

The adjudication committee for this program does not include Canadians. Please list the full names of any individuals located outside of Canada who are competitive with you and therefore should not review your application. Please do not exclude reviewers for other reasons as we are unable to honour those requests. Type "None" if you have no reviewer exclusion. (This information will not be used to
assess the application.)
Project Information

1. Central hypothesis, goals and specific aims: *(maximum 300 words)*

2. Specific properties of the therapeutic or intervention: Please provide the rationale for testing in humans, selectivity/specificity and potency of the therapeutic or intervention, target engagement, toxicity and safety data (including tolerability and any contraindications), PK/PD data, blood-brain barrier penetration (for CNS targets), latest stage of development, and any information on repurposing or repositioning. For a biologic, please also include method of manufacture *(maximum 400 words)*. *Supplemental material for PK/PD data can be uploaded as a PDF to a maximum of 1 page.*

3. Experimental approach: Please include details about the trial design including primary, key secondary, and key exploratory objectives, measures, and hypotheses; the nature of the intervention (e.g. dose and rationale for same, formulation, frequency and route of administration), sample size and preliminary justification for same, sample characteristics (exclusion/inclusion criteria), appropriate controls, pertinent regulatory considerations, evidence for adequate protection and safety monitoring, performance sites, and leadership structure. *(maximum 800 words)*

4. Development plan and future objectives: Please describe the development timeline for the proposed trial including go/no-go criteria for making decisions at key steps or milestones in the study. Please include applications for Health Canada and other required approvals within the development timeline if not already obtained. Please include a plan for recruitment of research participants and evidence of its feasibility. How will the results from this study support the next stage of clinical trial? Please specify additional funding sources that will be pursued. *(maximum 300 words)*

5. Team/Environment: Please provide evidence that the team has the appropriate training, experience, and sufficient access to all the resources needed to successfully carry out the trial within the proposed timelines. Resources to consider include but are not limited to, access to patients for recruitment, infrastructure for data management, preclinical and clinical expertise, and biostatistical support. *(maximum 300 words)*

List of publications cited in the application and other publications directly relevant to the proposed work: Please include full citations and PMID.